

**April 11, 2022**

**BSE Limited**

Department of Corporate Relationship  
1<sup>st</sup> Floor, New Trade Ring, Rotunda Building  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai- 400001  
*Scrip Code: 524742*

**National Stock Exchange of India Ltd.,**

Department of Corporate Services  
Exchange Plaza, 5<sup>th</sup> Floor,  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051  
*Scrip Code: CAPLIPOINT.*

Dear Sir/Madam,

**Sub: Certificate pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We attach herewith Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the period from April 1, 2021 to March 31, 2022.

Kindly take the same on record.

Thanking You,

Sincerely Yours

**For Caplin Point Laboratories Limited**

**Dinesh R G**

**Company Secretary and Compliance Officer**

Encl: A/a

**M. ALAGAR** B.Com., FCS., LLB

Managing Partner  
Registered Valuer & Insolvency Professional

**D. SARAVANAN** B.Com., ACS.,

Partner

**M.ALAGAR & ASSOCIATES**

Practising Company Secretaries

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022**

We have examined the relevant documents relating to **Caplin Point Laboratories Limited**, having its registered office at Ashvich Towers, 3rd Floor, No.3, Developed Plots, Industrial Estates, Perungudi Chennai 600096 maintained by the **Integrated Registry Management Services Private Limited**, having its office at II Floor, Kences Towers No.1 Ramakrishna Street, North Usman Road, T. Nagar, Chennai - 600 017 for the purpose of issuing a certificate under the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information and explanations furnished to us, which to the best of our knowledge and belief was necessary for the certification, we hereby certify that the Company's Share Transfer Agents have during the **period from 01<sup>st</sup> April 2021 to 31<sup>st</sup> March 2022** delivered all certificates / Letter of Confirmation within the period stipulated under above SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015; SEBI Circulars from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies, etc.

For **M. Alagar and Associates**

  
**M. Alagar**  
**Managing Partner**  
**FCS No.: F7488; CoP No.: 8196**



**UDIN: F007488D000064490**

Date: 11/04/2022

Place: Chennai