

General information about company

Scrip code	524742
NSE Symbol	CAPLIPOINT
MSEI Symbol	NOTLISTED
ISIN	INE475E01026
Name of the entity	Caplin Point Laboratories Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Annexure I**Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	C C Paarthipan	AAIPP9300N	01218784	Non-Executive - Non Independent Director	Chairperson		02-08-1952
2	Mr	Sridhar Ganesan	AFKPG4446H	06819026	Executive Director	Not Applicable	MD	23-03-1955
3	Mr	D Sathyanarayanan	AAGPS1732F	07650566	Non-Executive - Independent Director	Not Applicable		19-06-1959
4	Mrs	C K Gariyali	AADPC0197P	08711546	Non-Executive - Independent Director	Not Applicable		01-05-1948
5	Mr	S Deenadayalan	ABOPD6736D	01951620	Non-Executive - Independent Director	Not Applicable		11-06-1951
6	Mr	R Nagendran	AAEPN9005E	08943531	Non-Executive - Independent Director	Not Applicable		05-11-1951

I. Composition of Board of Directors

Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		01-10-1993	01-10-1993			1	0	0	0		
2	NA		12-02-2014	25-08-2022			1	0	2	0		
3	NA		09-11-2016	09-11-2019		82.22	1	1	2	0		
4	Yes	04-03-2020	04-03-2020	04-03-2023		42.27	1	1	1	0		
5	Yes	29-09-2022	29-09-2022	29-09-2022		12.02	1	1	2	2		
6	Yes	29-09-2022	29-09-2022	29-09-2022		12.02	1	1	2	0		

Text Block

Textual Information(1)

Dr C K Gariyali (DIN :08711546), had crossed seventy-five years of age on 1st May, 2023. Her appointment was made by the shareholders on September 28, 2020 and the shareholders had also granted approval at that time for her continuing as Director while she steps into her 75th year of age by passing a special resolution. The Nomination & Remuneration Committee, the Board and the shareholders had approved her re-appointment for another term of three years as an Independent Director w.e.f March 04, 2023.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	07650566	D Sathyanarayanan	Non-Executive - Independent Director	Member	06-02-2017		
3	06819026	Sridhar Ganesan	Executive Director	Member	12-05-2015		
4	08943531	R Nagendran	Non-Executive - Independent Director	Member	27-05-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	01218784	C C Paarthipan	Non-Executive - Non Independent Director	Member	05-08-2022		
3	08711546	C K Gariyali	Non-Executive - Independent Director	Member	27-05-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	07650566	D Sathyanarayanan	Non-Executive - Independent Director	Member	12-05-2021		
3	06819026	Sridhar Ganesan	Executive Director	Member	18-12-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07650566	D Sathyanarayanan	Non-Executive - Independent Director	Chairperson	30-03-2019		
2	06819026	Sridhar Ganesan	Executive Director	Member	30-03-2019		
3	99999999	D Muralidharan	Chief Financial Officer	Member	12-05-2021		Textual Information(1)

Sr Text Block

Textual Information(1)

CFO is a member in the committee

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01218784	C C Paarthipan	Non-Executive - Non Independent Director	Chairperson	25-08-2014		
2	06819026	Sridhar Ganesan	Executive Director	Member	25-08-2014		
3	01951620	S Deenadayalan	Non-Executive - Independent Director	Member	18-12-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	27-05-2023				Yes	6	6	4
2		07-08-2023	71		Yes	6	6	4

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	27-05-2023				Yes	4	4	3	0
2	Audit Committee	07-08-2023	71			Yes	4	4	3	0
3	Nomination and remuneration committee	27-05-2023				Yes	3	3	2	0
4	Nomination and remuneration committee	07-08-2023	71			Yes	3	3	2	0
5	Corporate Social Responsibility Committee	27-05-2023				Yes	3	3	1	0
6	Corporate Social Responsibility Committee	07-08-2023	71			Yes	3	3	1	0

Annexure 1**IV. Meeting of Committees**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Risk Management Committee	07-08-2023				Yes	3	2	1	1

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Textual Information(1)

Text Block

Textual Information(1)

S.No	Details of the party (listed entity /subsidiary / step-down subsidiary) entering into the transaction	Details of the counterparty	Type of related party transaction	Value of transaction during the reporting period in INR	
Name	Name	Relationship of the counterparty with the listed entity or its subsidiary/ step-down subsidiary			
1	Caplin Point Laboratories Limited	Nuevos Eticos Neoethicals S.A Guatemala	Step-down subsidiary	Sale of Goods	46,22,04,151
2	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Reimbursement of Expense	14,34,539
3	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Rent Received	1,20,000
4	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Sale of Raw material	3,05,921
5	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Sale of Consumables	1,74,423
6	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Purchase of RODTEP Scrips	57,30,407
7	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Reimbursement of SAP & QUADRA	5,99,448
8	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Capex Loan	18,50,00,000
9	Caplin Point Laboratories Limited	Caplin Steriles Limited	subsidiary	Interest on Capex Loan	5,35,53,164
10	Caplin Point Far East Limited	Nuevos Eticos Neoethicals S.A Guatemala	subsidiary	Sale of Goods	7,24,72,159
11	Nuevos Eticos Neoethicals S.A Guatemala	Neo Ethicals S.A Nicaragua	Fellow subsidiary	Sale of Goods	1,01,61,060
12	Nuevos Eticos Neoethicals S.A Guatemala	Drogueria Saimed De Honduras	Fellow subsidiary	Sale of Goods	24,12,112
13	Nuevos Eticos Neoethicals S.A Guatemala	Ecuador	Fellow subsidiary	Purchase of Goods	20,47,646
Total	79,62,15,030				

Shareholders Approval for material RPT was taken on September 29, 2022 at the 31st Annual General Meeting (AGM) of the Company and subsequent material modifications was approved by the Shareholders at the 32nd AGM held on September 21, 2023.

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Venkatram G
2	Designation	Company Secretary and Compliance Officer

Annexure III

III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
6				

Annexure III

1	Name of signatory	Venkatram G
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	Textual Information(1)	

Text Block

Textual Information(1)

as the below points are not applicable to us

Details of Cyber security incidence	
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Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
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Signatory Details

Name of signatory	Venkatram G
Designation of person	Company Secretary and Compliance Officer
Place	Chennai
Date	20-10-2023

