

General information about company	
Scrip code	524742
NSE Symbol	CAPLIPOINT
MSEI Symbol	NOTLISTED
ISIN	INE475E01026
Name of the entity	CAPLIN POINT LABORATORIES LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I																									
Annexure I to be submitted by listed entity on quarterly basis																									
I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson								Yes																	
Whether Chairperson is related to MD or CEO								No																	
								Disqualification of Directors under section 164 of the Companies Act, 2013																	
Sl. No.	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	C C Paarthipan	AAIPP9300N	01218784	Non-Executive - Non Independent Director	Chairperson		02-08-1952	No				Active	NA		01-10-1993	01-10-1993		1	0	0	0			
2	Mr	Sridhar Ganesan	AFKPG4446H	06819026	Executive Director	Not Applicable	MD	23-03-1955	No				Active	NA		12-02-2014	29-09-2022		1	0	2	0			
3	Mr	R Viswanathan	ACIPV1647G	07173713	Non-Executive - Independent Director	Not Applicable		18-05-1952	No				Active	NA		12-05-2015	12-05-2018		94	1	1	0			
4	Mr	D Sathyanarayanan	AAGPS1732F	07650566	Non-Executive - Independent Director	Not Applicable		19-06-1959	No				Active	NA		09-11-2016	09-11-2019		76	1	1	0			

I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	C.K. Gariyali	AADPC0197B	08711546	Non-Executive - Independent Director	Not Applicable		01-05-1948	No				Active	Yes	04-03-2020	04-03-2020	04-03-2020		36	1	1	1	0		
6	Mr	S. Deenadayalan	ABOPD6736D	01951620	Non-Executive - Independent Director	Not Applicable		11-06-1951	No				Active	Yes	29-09-2022	29-09-2022	29-09-2022		6	1	1	2	2		
7	Mr	R. Nagendran	AAEPN9005E	08943531	Non-Executive - Independent Director	Not Applicable		05-11-1951	No				Active	Yes	29-09-2022	29-09-2022	29-09-2022		6	1	1	1	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	07173713	R Viswanathan	Non-Executive - Independent Director	Member	05-09-2022		
3	07650566	D Sathyanarayanan	Non-Executive - Independent Director	Member	06-02-2017		
4	06819026	Sridhar Ganesan	Executive Director	Member	12-05-2015		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	01218784	C C Paarthipan	Non-Executive - Non Independent Director	Member	05-08-2022		
3	07173713	R Viswanathan	Non-Executive - Independent Director	Member	05-09-2022		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01951620	S Deenadayalan	Non-Executive - Independent Director	Chairperson	18-12-2022		
2	07650566	D Sathyanarayanan	Non-Executive - Independent Director	Member	12-05-2021		
3	06819026	Sridhar Ganesan	Executive Director	Member	18-12-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07650566	D Sathanarayanan	Non-Executive - Independent Director	Chairperson	30-03-2019		
2	06819026	Sridhar Ganesan	Executive Director	Member	30-03-2019		
3	99999999	D Muralidharan	Chief Financial Officer	Member	12-05-2021		Textual Information(1)

Sr Text Block	
Textual Information(1)	CFO is a member in the committee

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01218784	C C Paarthipan	Non-Executive - Non Independent Director	Chairperson	25-08-2014		
2	06819026	Sridhar Ganesan	Executive Director	Member	25-08-2014		
3	01951620	S Deenadayalan	Non-Executive - Independent Director	Member	18-12-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	12-11-2022				Yes	8	8	6
2		11-02-2023	90		Yes	7	7	5

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	12-11-2022				Yes	4	4	3	0
2	Audit Committee	11-02-2023	90			Yes	4	4	3	0
3	Stakeholders Relationship Committee	11-02-2023				Yes	3	3	2	0
4	Risk Management Committee	25-01-2023				Yes	2	2	1	1
5	Risk Management Committee	11-02-2023				Yes	2	2	1	1
6	Corporate Social Responsibility Committee	11-02-2023				Yes	3	3	1	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes of material transaction with related party			Textual Information(1)

Text Block

Details of Material Related Party Transaction for the period January to March 2023:

Name of the Related Party: Nuevos Eticos NeoEthicals S.A. Guatemala (NeoEthicals)

Nature of Related party relationship: Step-down subsidiary

Nature of Transactions: Sale of goods

Value of Transaction: INR 63,66,92,917 (Transaction between the Company and NeoEthicals is INR 29,78,59,011 and Transaction between Caplin Point Far East Limited (a wholly owned subsidiary of the company) and NeoEthicals is INR 33,88,33,906)

Name of the Related Party: Caplin Steriles Limited (CSL)

Nature of Related party relationship: Subsidiary

Nature and Value of Transactions:

Particulars	Amount in Actuals
Availing of services & Corporate Office common expenses recovery from CSL	14,48,002
Sale of material from CSL to Caplin Point Laboratories Limited (CPL)	4,51,518
Sale of Capex from CSL to CPL	1,00,000
Purchase of Packing material CPL to CSL	6,981
Purchase of Capex from CPL	8,01,034
Reimbursement of expenses	28,59,103
CAPEX Loan to Caplin Steriles Limited	48,00,00,000
Interest for the CAPEX Loan from Caplin Steriles Limited	3,11,35,890

Textual Information(1)

Shareholders Approval was taken on September 29, 2022 at the 31st Annual General Meeting of the Company.
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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Venkatram G
2	Designation	Company Secretary and Compliance Officer

Text Block

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://www.caplinpoint.net/index.php/our-business/
2	Terms and conditions of appointment of independent directors	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Terms_and_Conditions_for_Appointment_of_Independent_Director.pdf
3	Composition of various committees of board of directors	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/11/List-of-Directors-and-Committees-281222-1.pdf
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Code_of_Conduct.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Whistle-Blower-Policy.pdf
6	Criteria of making payments to non-executive directors	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/CMP.pdf
7	Policy on dealing with related party transactions	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Policy-on-Related-Parties-and-Material-Related-Parties.pdf
8	Policy for determining 'material' subsidiaries	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/POLICY_ON_MATERIAL_SUBSIDIARY.pdf
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Familiarization-Programme-Details-2021-22.pdf

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.caplinpoint.net/index.php/investor-contacts/
11	email address for grievance redressal and other relevant details	Yes		https://www.caplinpoint.net/index.php/investor-contacts/
12	Financial results	Yes		https://www.caplinpoint.net/index.php/financials/
13	Shareholding pattern	Yes		https://www.caplinpoint.net/index.php/shareholder-information/
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://www.caplinpoint.net/index.php/financials/
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		https://www.caplinpoint.net/index.php/press-release/
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://www.caplinpoint.net/index.php/annual-report/
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.caplinpoint.net/
21	Materiality Policy as per Regulation 30	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/POLICY_ON_DETERMINATION_OF_MATERIALITY_OF_EVENTS.pdf
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		https://www.caplinpoint.net/wp-content/uploads/2021/07/Dividend_Distribution_Policy.pdf
23	It is certified that these contents on the website of the listed entity are correct	Yes		https://www.caplinpoint.net/
Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]				Textual Information(1)

Text Block	
Textual Information(1)	Note: For Point 19 - The Company has presented the Audited Consolidated Financial Statements of Caplin Point Far East Limited, Hong Kong in the website, which consolidates six of its Subsidiaries.

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	Venkatram G
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	Venkatram G
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure	
Applicability of disclosure	Not Applicable
Reason for Non Applicability	Textual Information(1)

Text Block	
Textual Information(1)	as the below points are not applicable to us

Signatory Details	
Name of signatory	Venkatram G
Designation of person	Company Secretary and Compliance Officer
Place	Chennai
Date	21-04-2023

