

Format of Holding of Specified securities

Name of Listed Entity: **CAPLIN POINT LABORATORIES LIMITED**

Scrip Code/Name of Scrip/Class of Security: **BSE : 524742, NSE : CAPLIPOINT / EQUITY SHARES**

Share Holding Pattern Filed under: **Reg.31(1)(b)**

a. if under 31(1)(b) then indicate the report for quarter ending **30/09/2016**

b. if under 31(1)(c) then indicate date of allotment/extinguishment

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
Whether the Listed Entity has issued any partly paid up shares		NO
Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
Whether the Listed Entity has any shares against which depository receipts are issued?		NO
Whether the Listed Entity has any shares in locked-in?		NO
Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:

For CAPLIN POINT LABORATORIES LTD.


Company Secretary

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	5	10438753	0	0	10438753	69.09	10438753	0	10438753	69.09	0	69.09	0	0.00	0	0.00	10438753
(B)	Public	17864	4671247	0	0	4671247	30.91	4671247	0	4671247	30.91	0	30.91	0	0.00	NA	NA	3304643
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	17869	15110000	0	0	15110000	100.00	15110000	0	15110000	100.00	0	100.00	0	0.00	0	0.00	13743396

For CAPLIN POINT LABORATORIES LTD

(Signature)
Company Secretary

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
									(i)	(ii)	(iii)								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		4	10015353	0	0	10015353	66.28	10015353	0	10015353	66.28	0	0.00	0	0.00	10015353		
	PAARTHIPAN C C	AAIPP9300N	1	2829353	0	0	2829353	18.73	2829353	0	2829353	18.73	0	0.00	0	0.00	2829353		
	P VIJAYALAKSHMI	ACHPV1518R	1	3576000	0	0	3576000	23.67	3576000	0	3576000	23.67	0	0.00	0	0.00	3576000		
	ASHOK GORKEY PARTHEEBAN	AJIPP0842L	1	1810000	0	0	1810000	11.98	1810000	0	1810000	11.98	0	0.00	0	0.00	1810000		
	PARTHEEBAN VIVEK SIDDARTH	AZOPS7524A	1	1800000	0	0	1800000	11.91	1800000	0	1800000	11.91	0	0.00	0	0.00	1800000		
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other		1	423400	0	0	423400	2.80	423400	0	423400	2.80	0	0.00	0	0.00	423400		
	MAY INDIA PROPERTY PRIVATE LIMITED	AADCM6249B	1	423400	0	0	423400	2.80	423400	0	423400	2.80	0	0.00	0	0.00	423400		
	Sub-Total (A)(1)		5	10438753	0	0	10438753	69.09	10438753	0	10438753	69.09	0	0.00	0	0.00	10438753		
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	10438753	0	0	10438753	69.09	10438753	0	10438753	69.09	0	0.00	0	0.00	10438753		

For CAPLIN POINT LABORATORIES LTD.


Company Secretary

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
(1)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)		
(a)	Mutual Funds		5	11359	0	0	11359	0.08	11359	0	11359	0.08	0	0.08	0	0.00	NA	NA	59
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		12	680321	0	0	680321	4.50	680321	0	680321	4.50	0	0.00	0	0.00	NA	NA	680321
	MATTHEWS INDIA FUND	AABTM6157F	1	602387	0	0	602387	3.99	602387	0	602387	3.99	0	0.00	0	0.00	NA	NA	602387
(f)	Financial Institutions/Banks		4	3885	0	0	3885	0.03	3885	0	3885	0.03	0	0.03	0	0.00	NA	NA	3885
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	FOREIGN BODIES CORPORATES		1	45500	0	0	45500	0.30	45500	0	45500	0.30	0	0.30	0	0.00	NA	NA	0
	Sub Total (B)(1)		22	741065	0	0	741065	4.90	741065	0	741065	4.90	0	0.00	0	0.00	NA	NA	684265
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		17127	2629339	0	0	2629339	17.40	2629339	0	2629339	17.40	0	0.00	0	0.00	NA	NA	1432835
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		15	871824	0	0	871824	5.77	871824	0	871824	5.77	0	0.00	0	0.00	NA	NA	811824
	VISALATCHI	ALIPV1850G	1	160075	0	0	160075	1.06	160075	0	160075	1.06	0	0.00	0	0.00	NA	NA	160075
(b)	NBFCs Registered with RBI		4	6761	0	0	6761	0.04	6761	0	6761	0.04	0	0.04	0	0.00	NA	NA	6761
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																		
	TRUSTS		1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	NA	NA	20
	NON RESIDENT INDIANS		212	130085	0	0	130085	0.86	130085	0	130085	0.86	0	0.00	0	0.00	NA	NA	103885
	CLEARING MEMBERS		89	17259	0	0	17259	0.11	17259	0	17259	0.11	0	0.00	0	0.00	NA	NA	17259
	NON RESIDENT INDIAN NON REPATRIABLE		61	44011	0	0	44011	0.29	44011	0	44011	0.29	0	0.00	0	0.00	NA	NA	44011
	BODIES CORPORATES		333	230883	0	0	230883	1.53	230883	0	230883	1.53	0	0.00	0	0.00	NA	NA	203783
	Sub Total (B)(3)		17842	3930182	0	0	3930182	26.01	3930182	0	3930182	26.01	0	0.00	0	0.00			2620378
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		17864	4671247	0	0	4671247	30.91	4671247	0	4671247	30.91	0	0.00	0	0.00			3304643

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

For CAPLIN POINT LABORATORIES LTD.

[Signature]
Company Secretary

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

For CAPLIN POINT LABORATORIES LTD.

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Company Secretary

Shares of Shares which remain unclaimed may be given here along with details such as number of shareholders,	
Shareholders	No of shares

For CAPLIN POINT LABORATORIES LTD.


Company Secretary